

**Audits proposed to cover within another audit, roll forward to 2016/17 or cancel**

Audit Name	Reason	Cancellation Notes
Better Care Fund	Cancel - Service request	The Corporate Director People has agreed that the Better Care Fund audit should be cancelled from the 2015/16 audit plan and done instead in November/December 2016. The Fund was only introduced in April 2015 with funds relating to Milton Keynes schemes being released over a period of years. Some of the first schemes only began in November so an audit in 2016/17 would have much more value to the service.
Business Critical Applications - Integrated System for Parents and Providers - children database system	Cancel - Service request	The system is currently undergoing an upgrade of both its application tier and presentation tier (Migration to Win Sever 2008). This is due to be completed by the end of April 2016. It would therefore be beneficial to conduct this audit once these upgrades have been completed and the old infrastructure has been decommissioned.
Housing Contracts Management	Cancel - Service request	The Service Director Housing and Community has requested that the Housing Contract Management audit be cancelled from the 15/16 audit plan and be moved to Q2 of the 16/17 audit plan. Her team are currently very busy preparing for the new contract that starts on 1 April 2016 and the audit will have more value when the contract is actually in place.
New Financial Management System	Cancel - Reorganisation	The Project is currently on hold so a project healthcheck has no value. The current plan is that MKC will become a full member of the LGSS project to implement UNIT4 Agresso.

**Audits Previously Cancelled by Audit Committee**

Audit Name	Reason	Comments
Behaviour Partnership	Cancel - Service request	As this is now operated by an academy school and the service is no longer provided by the LA an internal audit is not appropriate.
Bridges	Cancel - Service request	As this is moving from MKSP Building Services management to MKC Public Realm, I propose deferring this until next year when the new management arrangements are fully in place.
Care Act Phase 2	Cancel - Service request	Phase 2 of the Care Act has been deferred by Central Government until 2020.
CCTV	Cancel - Service request	The client has requested that this audit be postponed until next year for the following reasons: Following a Thames Valley wide review, CCTV locally is part of a wider scope to ensure the future sustainability in partnership with other local authorities. Milton Keynes has the potential to play a pivotal role in the future delivery of CCTV and is about to embark on a partnership project that is due to finish in September 2016. This project once implemented will provide a wider scope to audit and would probably provide a more timely and accurate review of systems and process and overall management. Additionally in June 2015, a scrutiny review group presented a report that included recommendations that are included within the project which is due to start in February 2016.
Community Alarm Scheme	Cancel - Service request	There is a saving proposal going forward for ASC S26 which is the re-provision of the community alarm call handling and monitoring. Given these proposed changes to the service it would be helpful to not audit the service whilst we are in the budget consultation process.
Community Transport (Vehicles)	Cancel - Service request	This has not yet progressed and will probably not do so until 2017/18.
Domiciliary Care (external)	Cancel - Service request	Adult Social Care are undertaking an internal review this year, the outcome of which will be provided to audit to inform the annual assurance opinion. An audit at present will not add value.
Empty Properties (Lettings, Voids incl Rechargeable Works)	Cancel - Service request	Lettings will be covered in the Housing Options audit and Voids in the Housing Contract Management audit.
Future Ways of Working - Project healthcheck	Cancel - Service request	An auditor participated in an external Gateway review of the project; the outcome of the Gateway review provides us with the necessary assurance.
Key Conditions - Autism	Cancel - Service request	The Lead Commission for Learning Disabilities and Autism (starting in post November 2015) and the Head of Service (Mental Health) have started to review the Autism Pathway Review document. They are also looking at what appears to be best practice nationally. Workflow has been scheduled and they are in the process of re-establishing task and finish groups. The governance of this work will remain with the Autism Partnership Board. Given that this work has only recently started the service has requested that the Key Conditions – Autism audit is deferred until 2016/17.
Land use & Transport Strategy (inc Planning for Growth) (MK Plan)	Cancel - Service request	Defer to 2016/17 as this was been reviewed by the Inegrated Assurance Group in June.
Leisure and Community Facilities	Cancel - Service request	As per Paul Sanders 26/01/2016: The department is currently working on mobilising a complex new leisure facilities contract that is demanding on the current staff team with little capacity to take on additional tasks that the audit would require. The department has had a number of recent audits, particularly on the capital projects, a health check on Brooklands) and golf courses that carry most financial risk and were found to have appropriate systems, checks and balances in place.
Mailroom and Archiving	Cancel - Service request	A 12 week review of mailroom processes to achieve a digital mailroom solution is currently being undertaken by external consultants. I propose to audit this when the new solution is in place.
MKSP Governance	Cancel - Service request	External review undertaken; the outcome of which is the transfer of MKSP to MKC by end of 2015/16
Recruitment	Cancel - Service request	The service is currently having an end-to-end process review of its systems with the objective of changing the system to make the recruitment process simpler and to reduce end to end times. New systems should be in place by year end so service management have requested that the recruitment audit should be deferred to 16/17
Refuse Collection & Street Cleansing	Cancel - Service request	Serco's parent Company intends to novate all contracts into a new Company. Suggest deferring this until 16/17 when the contract is being delivered by the new Company.
Regeneration	Cancel - Service request	This will be subject to an external Gateway review which we can use to inform our assurance. Defer to 2016/17
Section 106	Cancel - Service request	The Service Director requested that this audit be deferred to the 2016/17 plan, as some work was recently done on this area in the Planning Obligations audit.
Staff terms and conditions	Cancel - Service request	T&Cs will change in 2016/17 so the audit to postponed in 15/16 and carried forward to 16/17 which will be a more appropriate time to audit this

**Unplanned Audits**

Audit Name	Comments
Family Group Conference	not a planned audit - asked by service to do it
Future Working Programme	Management request for assistance with the Gateway review.

**Specials**

Audit Name	Comments
A421 Programme	Management Concern
Asbestos Contract	Management Concern
Ashland	Councillor concern
Bletchley (Fixing the Links)	Management Concern
Complaint HALL	Public Complaint
Contract Award and Evaluation	Management Concern
Fields	Management Concern
Information Governance	Management request for consultancy support
Libraries	Management Concern
Street Lighting	Management Concern
Timesheets	Management Concern